

## BARNES &amp; THORNBURG LLP

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## IN THE UNITED STATES PATENT OFFICE

*Earliest Priority Date:* 29 September 2003 (29.09.03)

*International Serial No.:* PCT/DE2004/02091

*U.S. Serial No.:* 10/573,720

*Invention:* OPTICAL SYSTEM FOR  
 REDUCING THE REFLECTION OF  
 OPTICALLY TRANSPARENT  
 SUBSTRATES

*Applicant:* SCHULZ et al.

*International Filing Date:* 13 September 2004 (13.09.04)

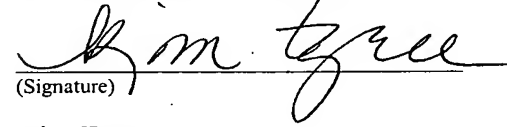
*Attorney Docket:* 127-79571

## Certificate Under 37 CFR 1.10

Express Mail No.: EV 417 056 659 US

Date of Mailing: December 11, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

(Signature) 

Kim Tyree

(Typed or Printed Name of Person Mailing Paper or Fee)

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
 UNDER 35 U.S.C. 371 IN THE UNITED STATES  
 DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Attn: DO/EO/US  
 Mail Stop: PCT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed 09 November 2006 (09.11.06), applicant submits herewith:

1. Declaration for Utility or Design Patent Application (37 CFR 1.63) signed, dated, and completed by the inventors;

12/14/2006 MKAYPAGH 00000125 10573720

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130.00 OP

2. copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US);;

3. Statement Under 37 CFR 3.73(b);

4. Power of Attorney and Correspondence Address Indication Form; and

5. Check in the Amount of \$130.00 for furnishing the oath or declaration.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0435, referencing attorney docket no. 127-79571. A duplicate of this Response is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard D. Conard".

Richard D. CONARD  
Atty. Reg. No. 27321  
Attorney for Applicant

RDC/kat  
Indianapolis, Indiana  
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DOCKETED

MIS/RES

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 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/573,720	Ulrike Schulz	127-79571

INTERNATIONAL APPLICATION NO.
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PCT/DE04/02091

I.A. FILING DATE	PRIORITY DATE
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09/13/2004

09/29/2003

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RECEIVED

NOV 13 2006

BARNES &amp; THORNBURG LLP

CONFIRMATION NO. 2210

371 FORMALITIES LETTER



\*OC000000021169393\*

Date Mailed: 11/09/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/27/2006
- English Translation of the IA filed on 03/27/2006
- Copy of the International Search Report filed on 03/27/2006
- Preliminary Amendments filed on 03/27/2006
- Information Disclosure Statements filed on 03/27/2006
- Oath or Declaration filed on 03/27/2006
- U.S. Basic National Fees filed on 03/27/2006
- Priority Documents filed on 03/27/2006
- Specification filed on 03/27/2006
- Claims filed on 03/27/2006
- Abstracts filed on 03/27/2006
- Drawings filed on 03/27/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (709) 308-4940

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573,720	PCT/DE04/02091	127-79571